



1 Feb

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. : 10/539,764  
Applicant : DADD, ET AL.  
Filed : MARCH 20, 2006  
Title : METHOD AND APPARATUS FOR SEALING A LUMEN IN AN  
ELECTRODE ASSEMBLY

Art Unit : 3731  
Examiner : TO BE ASSIGNED

Atty Docket No. : COCH-0156-US1

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

The below-identified communication(s) is (are) submitted in the above-captioned application or proceeding:

- ☒ Request for Corrected Filing Receipt (2 pages)
- ☒ Copy of Cover Sheet for Australian Application 200293468 (1 page)
- ☒ Copy of Filing Receipt (2 pages)

Respectfully submitted,

Michael G. Verga  
Registration Number 39,410

**JAGTIANI + GUTTAG**  
Democracy Square Business Center  
10363-A Democracy Lane  
Fairfax, Virginia 22030  
(703) 591-2664

May 19, 2006



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Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Applicants acknowledge receipt of the Official Filing Receipt in connection with the above-captioned application.

Upon review of same, an error in the country designation of the following foreign application has been noted and correction of same is respectfully requested.

Foreign Application:

UNITED KINGDOM 200293468 12/19/2002

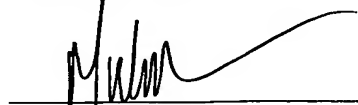
Please correct as follows:

**AUSTRALIA 2002953468 12/19/2002**

**Serial Number 10/539,764**

Please find enclosed a copy of the certified priority document cover as filed along with a copy of the Filing Receipt with the changes noted thereon. Accordingly, it is believed that no fee is due or payable for this correction.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Michael G. Verga', written over a horizontal line.

Michael G. Verga  
Registration No. 39,410

**JAGTIANI + GUTTAG**  
10363-A Democracy Lane  
Fairfax, Virginia 22030  
(703) 591-2664

May 19, 2006



Australian Government

Patent Office  
Canberra

I, LEANNE MYNOTT, MANAGER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. 2002953468 for a patent by COCHLEAR LIMITED as filed on 19 December 2002.

WITNESS my hand this  
Sixteenth day of August 2005

LEANNE MYNOTT  
MANAGER EXAMINATION SUPPORT  
AND SALES



BEST AVAILABLE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/539,764	03/20/2006	3731	1980	COCH-0156-US1	11	31	5

CONFIRMATION NO. 6613

22506  
JAGTIANI + GUTTAG  
10363-A DEMOCRACY LANE  
FAIRFAX, VA 22030

## FILING RECEIPT



\*OC000000018667570\*

Date Mailed: 05/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Fysh Dadd, New South Wales, AUSTRALIA;  
Peter Gibson, New South Wales, AUSTRALIA;  
Martin Svehla, New South Wales, AUSTRALIA;  
Claudiu Treaba, New South Wales, AUSTRALIA;

**Power of Attorney:** The patent practitioners associated with Customer Number 22506.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/AU03/01687 12/18/2003

## Foreign Applications

AUSTRALIA 2002953473 12/19/2002  
AUSTRALIA 2002953471 12/19/2002  
AUSTRALIA 2002953469 12/19/2002  
UNITED KINGDOM 2002953468 12/19/2002

**Correction:**  
**Australia 2002953468**  
**12/19/2002**

If Required, Foreign Filing License Granted: 05/02/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/539,764**

Projected Publication Date: 08/10/2006

Non-Publication Request: No

**Early Publication Request:** No

**Title**

Method and apparatus for sealing a lumen in an electrode assembly

**Preliminary Class**

623

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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